

IBM DOCKET NUMBER: AUS920010513US1

PATENT:

SERIAL #:

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

 jc828 U.S. PTO  
 10/059093  
 01/28/02

In application of:  Brown et al.	: Group Art Unit:
Serial No.:	: Intellectual Property Law Department
Filed:	: International Business Machines Corp.
Title: <b>DISPLAYING SPECIFIED RESOURCE USAGE</b>	11400 Burnet Road
	: Austin, Texas 78758

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
 Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,



Marilyn Smith Dawkins  
 Attorney for Applicants  
 Registration NO. 31,140  
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CT420197435US

IBM DOCKET NUMBER: AUS920010513US1

THE ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231



Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Michael Wayne Brown  
Andrew Douglas Hatelly  
Kelvin Roderick Lawrence  
Michael A. Paolini

For: **DISPLAYING SPECIFIED RESOURCE USAGE**

Assignee Name: International Business Machines Corporation  
Assignee Residence: Armonk, New York

Enclosed are:

  X   Patent Specification and Number of Declarations

  5   sheets of drawing(s). Informal

  X   An assignment of the invention to International Business Machines Corporation (includes Recordation Form Cover Sheet).

       A certified copy of a        application.

  X   Information Disclosure Statement, PTO 1449 and copies of references.

The filing fee has been calculated as shown below:

For	Number Filed	Number Extra	Rate	Fee
Basic Fee				\$740.00
Total Claims No.	24 - 20	4	x 18 =	\$ 72.00
Indep. Claims No.	3 - 3	0	x 84 =	\$ 0.00
MULTIPLE DEPENDENT CLAIM PRESENTED				0 x 270 = \$ 0.00
TOTAL				<u>\$812.00</u>

IBM DOCKET NUMBER: AUS920010513US1

X Please charge my Deposit Account No. 09-0451 in the amount of ~~\$782.00~~.  
A duplicate copy of this sheet is enclosed. 896.00

X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0451. A duplicate copy of this sheet is enclosed.

X Any additional filing fees required under 37 CFR \$1.16.

X Any patent application processing fees under 37 CFR \$1.17.

Respectfully submitted,

By Marilyn Smith Dawkins  
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In Place of FORM PTO-1449 (Modified)

Serial No. \_\_\_\_\_

Applicant: Brown et al.

Filing Date: \_\_\_\_\_

Group: \_\_\_\_\_

Atty. Docket No. AUS920010513US1LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

Reference Designation			U. S. PATENT DOCUMENTS			
<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub- class</u>	<u>Filing Date if Appropri- ate</u>
<u>AA</u>	US 5,463,775	10/31/95	System and Method for Performing Monitoring of Resources in a Data Processing System in Real Time	395	184.0 1	06/20/94
<u>AB</u>	US 5,572,672	11/05/96	Method and Apparatus for Monitoring Data processing System Resources in Real-Time	395	184.0 1	07/12/95
<u>AC</u>	US 5,651,107	07/22/97	Method and Apparatus for Presenting Information in a Display System Using Transparent Windows	395	344	08/16/94
<u>AD</u>	US 5,673,404	09/30/97	End-User Customizable Feedback Display for Windowed Applications	395	347	12/20/95
<u>AE</u>	US 5,764,229	06/09/98	Method of and System for Updating Dynamic Translucent Windows with Buffers	345	345	05/09/96

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<u>AF</u>	US 5,859,639	01/12/99	Mechanism to Control Visible Presence of Desktop Objects in a Graphical User Interface	345	345	09/30/96
<u>AG</u>	US 5,889,530	03/30/99	Method and Apparatus for Dynamically Presenting Graphical Representation of Instrumentation	345	440	03/14/96
<u>AH</u>	US 5,917,492	06/29/99	Method and System for Displaying an Expandable Tree Structure in a Data Processing System Graphical User Interface	345	357	03/31/97
<u>AI</u>	US 6,002,397	12/14/99	Window Hatches in Graphical User Interface	345	340	09/30/97
<u>AJ</u>	US 6,020,887	02/01/00	Method and Apparatus for Single Scroll Bars in Graphical Windows User Interface	345	340	05/07/97
<u>AK</u>	US 6,049,798	04/11/00	Real Time Internal Resource Monitor for Data Processing System	707	10	06/14/94

AL	US 6,057,840	05/02/00	Computer-Implemented User Interface Having  Semi-Transparent Scroll Bar Tool for Increased Display Screen Usage	345	341	03/27/98
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FOREIGN PATENT DOCUMENTS

<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Country</u>	<u>Class</u>	<u>Sub-class</u>	<u>Filing Date if Appropriate</u>
BA	WO 00/14574	03/16/00	PCT	G01V	1/28	09/03/99
BB	JP 5134830A	12-16-92	Japan	G06F11	32	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<u>Examiner Initial</u>	<u>Author</u>	<u>Title</u>	<u>Date</u>	<u>Pertinent Pages</u>
CA	IBM Technical Disclosure Bulletin	"Translucent Windows: Dragging an Image without Obscuring the Desktop:",	vol 37, No. 10, October 1994	p. 15
CB	IBM Research Disclosure 431180	"Progressively animated graphical overlays"	March 2000	p. 592
CC	IBM DOCKET AUS920010514US1	DISPLAYING TRANSPARENT RESOURCE AIDS		
CD	IBM DOCKET AUS920010515US1	SELECTIVELY ADJUSTING TRANSPARENCY OF WINDOWS WITHIN A USER INTERFACE		
CE	IBM DOCKET AUS920010516US1	CHANGING THE ALPHA LEVELS OF AN APPLICATION WINDOW TO INDICATE A STATUS OF A COMPUTING TASK		

_____ CF	IBM DOCKET AUS920010517US1 ✓	ADJUSTING THE TINT OF A TRANSLUCENT WINDOW TO CONVEY STATUS		
_____ CG	IBM DOCKET AUS920010518US1 ✓	ADJUSTING TRANSPARENCY OF WINDOWS TO REFLECT RECENT USE		
_____ CH	IBM DOCKET AUS920010519US1 ✓	DISPLAYING TRANSPARENCY CHARACTERISTIC AIDS		
_____ CI	IBM DOCKET AUS920010520US1 ✓	SPECIFYING AUDIO OUTPUT ACCORDING TO WINDOW GRAPHICAL CHARACTERISTICS		
_____ CJ	IBM DOCKET AUS920010521US1 ✓	AUTOMATIC WINDOW REPRESENTATION ADJUSTMENT		
_____ CK	IBM DOCKET AUS920010522US1 ✓	VARYING HEIGHTS OF APPLICATION IMAGES TO CONVEY APPLICATION STATUS		
_____ CL	IBM DOCKET AUS920010524US1 ✓	SELECTIVELY ADJUSTING THE TRANSLUCENCY OF WINDOWS IN RESPONSE TO A SCROLL WHEEL ROTATION		
_____ CM	IBM DOCKET AUS920010525US1	SELECTIVELY ADJUSTING THE ORDER OF WINDOWS IN RESPONSE TO A SCROLL WHEEL ROTATION		

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.